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PATENT, TRADEMARK, COPYRIGHT
AND UNFAIR COMPETITION LAW
AND RELATED LITIGATION

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TRUMAN A. HERRON 1935-1976
EDWARD B. EVANS 1936-1971

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October 14, 2004

FACSIMILE COVER SHEET

To: Dexter Morton
Board of Appeals
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22213-1450

Fax: 571-273-0043

Enclosures:

Fax Cover Sheet containing Certificate of Facsimile
Transmission (1 page)
Copy of Auto-Reply Facsimile Transmission of
September 28, 2004 Transmission Confirming
Receipt by the USPTO of 20 pages (1 page)
Copies of Papers Faxed on September 28, 2004,
including:
Fax Cover Sheet containing Certificate of Facsimile
Transmission (1 page)
Transmittal containing Certificate of Facsimile
Transmission (2 pages)
Amendment and Response (17 pages)

From: Scott A. Stinebruner
Reg. No. 38,323

Re: U.S. Patent Application
Serial No. 09/356,241
(Appeal No. 2003-0706)
Filed: July 16, 1999
Applicant: Cary Lee Bates et al.
Art Unit: 2172
Confirmation No.: 7560
Our Ref: IBM/96

Pages: 22 (including cover sheet)

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OCT 14 2004

**MESSAGE/COMMENTS
OFFICIAL**

Per your request in our telephone conference today regarding your incomplete receipt of the papers
filed on September 28, 2004.

Judith L. Volk
Judith L. Volk
October 14, 2004

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence and the enclosures noted herein (22 total pages, including cover sheet)
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Judith L. Volk

October 14, 2004
Date

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September 28, 2004

FACSIMILE COVER SHEET

To: Examiner Cam-Y Truong
Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22213-1450

From: Scott A. Stinebruner
Reg. No. 38,323

Re: U.S. Patent Application
Serial No. 09/356,241
(Appel No. 2003-0706)
Filed: July 16, 1999
Applicant: Cary Lee Bates et al.
Art Unit: 2172
Confirmation No.: 7560
Our Ref: IBM/96

Fax: 703-872-9306

Enclosures:

1 Fax Cover Sheet containing Certificates of
Facsimile Transmission (1 page)
1 Transmittal containing Certificate of
Facsimile Transmission (2 pages)
1 Amendment and Response (17 pages)

Pages: 20 (including cover sheet)

MESSAGE/COMMENTS
OFFICIAL

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the correspondence and the enclosures noted herein (2) total pages, including cover sheet, are being transmitted via facsimile transmission to Examiner Cam-Y Truong, Mail Stop AMENDMENT 1, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22213-1450 at 703-872-9306 on September 28, 2004.

Judith L. Volk
Judith L. Volk

September 28, 2004
Date

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PATENTAtt'y Docket No. IBM/96/124
Confirmation No. 7560**CERTIFICATE OF FACSIMILE TRANSMISSION**

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Judith L. Volk
Judith L. Volk

September 28, 2004
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cary Lee Bates et al. Art Unit: 2172
Serial No.: 09/356,241 (Appeal No. 2003-0706) Examiner: Cam-Y Truong
Filed : July 16, 1999
For : ORDERING OF DATABASE SEARCH RESULTS BASED ON USER
FEEDBACK

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1. ☒ Transmitted herewith is an Amendment and Response.
2. ☐ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
☐ Enclosed is a verified statement to establish Small Entity status
☒ Other than a Small Entity
3. The fee has been calculated as shown below:

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CALCULATION OF FEES

Fee:	Number of Claims After Amendment:		Previously Paid For:	No. Extra:	At Rate:	Amount:
Total Claims	44	minus	47	0	\$18	\$0.00
Independent Claims	12	minus	12	0	\$86	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					\$290	\$0.00
TOTAL FEE FOR CLAIMS:						\$0.00

- ☒ No additional fee for claims is required.

4. ☐ Attached is a check in the sum of \$_____ for additional claims.
☐ Please charge my Deposit Account No. 23-3000 in the amount of \$_____.

5. **The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply. Complete (a) or (b) as applicable.**

- ☐ (a) Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	<u>Ext. Mos.</u>	<u>Large entity</u>	<u>Small entity</u>
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 420.00	\$ 210.00
<input type="checkbox"/>	three months	\$ 950.00	\$ 475.00
<input type="checkbox"/>	four months	\$1,480.00	\$ 740.00
<input type="checkbox"/>	five months	\$2,010.00	\$1,005.00

Extension fee due with this request:

\$ _____

Method of Payment:

Check enclosed in the amount of \$ _____

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured and the fee paid thereof of \$_____ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$_____.

OR

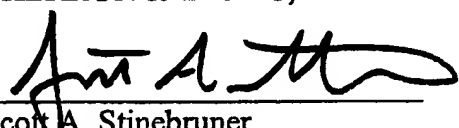
- ☒ (b) Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

6. ☒ If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:


 Scott A. Stinebruner
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Enclosed:

Fax Cover Sheet containing Certificate of Facsimile Transmission (1 page)
 Transmittal containing Certificate of Facsimile Transmission (2 pages)
 Amendment and Response (17 pages)

PATENT

IBM/96

Confirmation No. 7560**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Cary Lee Bates et al. Art Unit: 2172
Serial No.: 09/356,241 (Appeal No. 2003-0706) Examiner: Cam-Y Truong
Filed: July 16, 1999 Atty. Docket No.: IBM/96
For: ORDERING OF DATABASE SEARCH RESULTS BASED ON USER
FEEDBACK

AMENDMENT AND RESPONSE

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In reply to the Decision of the Board of Patent Appeals and Interferences mailed
August 31, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on
page 2 of this paper.

Remarks begin on page 17 of this paper.

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